

INVESTIGATOR GUIDANCE: Self-assessments

Document No.:	Edition No.:	Effective Date:	Page:
HRP-830	001	01 MAY 2019	Page 1 of 1

What is the purpose of the self-assessment?

Self-assessments are recognized by regulatory and accreditation agencies as an effective method to strengthen the quality, efficiency, and effectiveness of Human Research Protection Programs (HRPPs). Results aid in identifying strengths and weaknesses of our HRPP.

How are studies selected for self-assessment?

Studies are selected randomly from the current list of active projects. Six studies are selected each month with five receiving notices to complete the self-assessment and one held as an alternate. A study will not be selected for self-assessment more than once in a 12-month period.

How do I report results of the self-assessment?

Findings of self-assessments are reported to the IRB in accordance with HRP-069 POLICY: Prompt Reporting Requirements.

No findings

Retain the completed HRP-901 Investigator Self-assessment in the study files.

Findings that do not meet HRP-069 criteria

Make appropriate corrections and/or create Notes-to-File to address the findings; retain the completed HRP-901 Investigator Self-assessment in the study file.

Findings that meet HRP-069 criteria

Submit HRP-204 FORM: Promptly Reportable Information to the IRB; retain the completed HRP-901 Investigator Self-assessment in the study file.

Do I need to complete the self-assessment for the entire study?

Yes. The self-assessment is intended to assist the research team with maintaining regulatory compliance. The entire study record should be reviewed; however, not all sections of the self-assessment checklist will apply to all studies.

Do I need to complete the self-assessment if the study is closed to enrollment or if I plan to close the study?

Yes. All studies that have IRB oversight are subject to selection for and completion of self-assessment.

Do I need to complete the self-assessment if the study relies on an external IRB?

Yes. Studies that rely upon external IRBs are still subject to AdventHealth Orlando policies and procedures related to the conduct of research.